

UJJIVAN/SE/2021-22/8

April 28, 2021

National Stock Exchange of India Limited
 Exchange Plaza, Bandra Kurla Complex,
 Bandra (East),
 Mumbai 400 051
 Kind Attention: Manager, Listing Department

BSE Limited
 P.J. Tower,
 Dalal Street
 Mumbai 400 001
 Kind Attention: Manager, Listing Compliance

Trading Symbol: UJJIVAN

SCRIP CODE: 539874

Dear Sir/Madam,

Intimation under Regulation 30(2) and Regulation 44(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI Listing Regulations”)

Please refer to our intimation letter dated March 26, 2021 wherein we had submitted the Postal Ballot Notice dated March 22, 2021 sent to the Members only through email seeking their approval for the following business items:

Resolution Number	Resolution Description	Resolution
1.	To approve the appointment of Mr. Samit Ghosh (DIN:00185369) as the Managing Director and Chief Executive Officer of the Company for a period of 3 years w.e.f. May 01, 2021	Special
2.	To approve the appointment of Mr. Sunil Vinayak Patel (DIN: 00050837) as an Independent Director of the Company for a period of 5 years w.e.f. February 11, 2021	Ordinary
3.	To approve the appointment of Mr. Rajesh Kumar Jogi (DIN: 03341036) as an Independent Director of the Company for a period of 5 years w.e.f. February 11, 2021	Ordinary
4.	To approve the re-appointment of Mr. Abhijit Sen (DIN: 00002593) as an Independent Director of the Company for a period of 5 years w.e.f. September 13, 2021	Special

Mr. S. Kannan of M/s. S Kannan And Associates, bearing Firm No. S2017KR473100 (FCS 6261, holding CP No. 13016), who was appointed as the Scrutinizer for the aforesaid Postal Ballot / e-voting process has submitted his report dated April 28, 2021.

We would like to inform that Resolution Nos. 2 and 3 were passed with the requisite majority and are deemed to have been passed on the last date of the e-voting i.e. April 27, 2021. Resolution Nos. 1 and 4 polled 70% of the valid votes in favour. However, these being Special Resolutions in nature, required a minimum of 75% of the valid votes polled in favour of the resolution or a minimum of 3 times of the votes polled against the resolution. Consequently, Resolution Nos. 1 and 4 fell short of the requisite majority.

In this regard please find enclosed the following:

1. Disclosure pertaining to the voting results pursuant to provisions of Reg. 44 (3) of Listing Regulations
2. Report of the Scrutinizer dated April 28, 2021

Please consider this intimation as the disclosure of the proceedings under Regulation 30 read with Para A (13) of the SEBI Listing Regulation.

The results of the Postal Ballot along with the Scrutinizer's Report are available at the registered office of the Company and hosted at Company's website www.ujjivan.com as well as on the website of KFin Technologies Private Limited at <https://evoting.kfintech.com>.

We request you to please take the above on record.

Thanking You,

Yours faithfully,

For Ujjivan Financial Services Limited

Sanjeev Barnwal
Company Secretary and Compliance Officer

Encl: as mentioned above



CS S KANNAN
Complete Compliance



SCRUTINIZER'S REPORT

(Pursuant to section 110 of the Companies Act, 2013 and
Rule 22 of the Companies (Management and Administration) Rules, 2014.)

The Chairman / Authorised Person
UJJIVAN FINANCIAL SERVICES LIMITED
(CIN L65999KA2004PLC035329)
Grape Garden,
No. 27, 3rd 'A' Cross, 18th Main,
6th Block, Koramangala,
Bangalore 560095,

Dear Sir,

As you are aware, the Board of Directors of the Company at their meeting held on 22nd March 2021 had appointed me as Scrutinizer for conducting the Postal Ballot voting process in a fair and transparent manner.

The management of the Company is responsible for ensuring compliance with the requirements of the Companies Act, 2013, and Rules relating to voting through electronic means on the resolutions contained in the Postal Ballot notice dated 22nd March 2021

My responsibility as a Scrutinizer for the voting process is restricted to make a Scrutinizer Report of the votes cast "in favour" or "against" the resolutions based on the reports generated from the e-voting system provided by the KFin Technologies Private Limited, the authorised service provider, for extending the facility of electronic voting to the Members of the Company.

Accordingly, I submit my report as under:

1. In terms of Section 110 of the companies Act, 2013, read with Rule 22 of the Companies (Management and Administration) Rules, 2014, the Company has issued a postal ballot notice dated 22nd March 2021 for passing the resolutions mentioned in the said notice to its Members.
2. On 26th March 2021 the Company emailed the postal ballot notice to its Members whose name(s) appeared in the Register of Members / list of beneficiaries as on 19th March 2021. (Cut-Off date)
3. The Public advertisements with respect to despatch of Notice of Postal Ballot were published on 27th March 2021 in Financial Express (English daily) and Hosadigantha (Kannada daily).

S KANNAN AND ASSOCIATES

Company Secretaries

FCS 6261, PCS No. 13016, Firm No.S2017KR473100.

13, Ground Floor, 1st Main Road, Venkateshwara Lay Out, Attiguppe, Vijayanagara, Bengaluru - 560040

Email : cs.skannan@gmail.com / kannans@kannancs.in, Mobile : 98450 58441, www.kannancs.in





4. The Members were informed vide the postal ballot notice that they were required to give their assent for or dissent against the proposal through e-voting facility which was kept open from 9.00 AM on 29th March 2021 till 5.00 PM on 27th March 2021 (both days inclusive).
5. Pursuant to the provisions of SEBI (LODR) Regulations, 2015 and the provisions of section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 (including any amendments thereto), the Company has provided electronic voting facility (e-voting) to the Members of the Company and has engaged KFin Technologies Private Limited for providing e-voting platform. Email communication in respect of e-voting for postal ballot of the Company has been sent to the email addresses which are registered with the Depositories as on the Cut Off date i.e., 19th March 2021
6. Particulars of all the Members who have voted through e-voting facility provided by the Company are separately maintained.
7. I have considered all the electronic votes recorded from 9.00 AM on 29th March 2021 up to the close of workings hours i.e., at 5.00 PM on 27th March 2021, being the last date and time fixed by the Company.
8. A summary of the electronic voting received for the Special resolutions given in the Notice referred to above seeking Members' approval is as under:

Resolution No. & Resolution	Voting through	In Favour	Against	Result
Resolution No.1 - Special Resolution Appointment of Mr. Samit Ghosh (DIN: 00185369) as the Managing Director and Chief Executive Officer of the Company.	E-Voting	45593664	19053314	Not approved by requisite majority
Resolution No.2 - Ordinary Resolution Appointment of Mr. Sunil Vinayak Patel (DIN: 00050837) as an Independent Director.	E-Voting	45684316	18962421	Passed with requisite majority
Resolution No.3 - Ordinary Resolution Appointment of Mr. Rajesh Kumar Jogi (DIN: 03341036) as an Independent Director.	E-Voting	46863240	17783487	Passed with requisite majority
Resolution No.4 - Special Resolution Re-appointment of Mr. Abhijit Sen (DIN: 00002593) as an Independent Director.	E-Voting	45628636	19018101	Not approved by requisite majority

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CS S KANNAN
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9. It may be noted that Resolution Nos. 1 and 4 were polled with 70% of the valid votes in favour. However, these being Special Resolutions in nature, required a minimum of 75% of the valid votes polled in favour of the resolution or a minimum of 3 times of the votes polled against the resolution. Consequently, Resolution Nos. 1 and 4 fell short of the requisite majority.
10. List of members who participated in the e-voting is shared with the Company Secretary of the Company by way of email.

I write to state that resolution Nos. 2 and 3 set out in the Notice for Postal Ballot dated 22nd March 2021 are approved by Members of the Company with requisite majority and that resolution Nos. 1 and 4 are NOT approved by members with requisite majority. You may accordingly declare the results of the Postal Ballot as per law.

Yours truly,
For S Kannan & Associates



S Kannan

S Kannan
Company Secretary
FCS 6261, PCS 13016
UDIN: F006261C000200499

Place: Bengaluru
Date: 28th April 2021

S KANNAN AND ASSOCIATES

Company Secretaries

FCS 6261, PCS No. 13016, Firm No.S2017KR473100.

13, Ground Floor, 1st Main Road, Venkateshwara Lay Out, Attiguppe, Vijayanagara, Bengaluru - 560040

Email : cs.skannan@gmail.com / kannans@kannancs.in, Mobile : 98450 58441, www.kannancs.in

Company Name	UJJIVAN FINANCIAL SERVICES LIMITED
Date of the Postal Ballot	Notice dated March 22, 2021 Evoting Start date: March 29, 2021 Evoting end date: April 27, 2021 Result date: April 27, 2021
Total number of shareholders on record date	107808
proxy:	
Promoters and Promoter Group:	Not Applicable
Public:	Not Applicable
No. of Shareholders attended the meeting through Video Conferencing	
Promoters and Promoter Group:	Not Applicable
Public:	Not Applicable

Resolution required: (Ordinary/ Special)	SPECIAL - To approve the appointment of Mr. Samit Ghosh (DIN: 00185369) as the Managing Director and Chief Executive Officer of the Company for a period of 3 years w.e.f. May 01, 2021							
Whether promoter/ promoter group are interested in the agenda/resolution?	No							
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]* 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	0	0	0.0000	00	0	0.0000	0.0000
	Poll	0	0	0.0000	00	0	0.0000	0.0000
	Postal Ballot	0	0	0.0000	00	0	0.0000	0.0000
Public- Institutions	E-Voting	51536021	48080883	93.2957	41409854	6671029	86.1254	13.8745
	Poll	51536021	0	0.0000	00	0	0.0000	0.0000
	Postal Ballot	51536021	0	0.0000	00	0	0.0000	0.0000
Public- Non Institutions	E-Voting	70119510	16566095	23.6255	4183810	12382285	25.2552	74.7447
	Poll	70119510	0	0.0000	00	0	0.0000	0.0000
	Postal Ballot	70119510	0	0.0000	00	0	0.0000	0.0000
	Total	121655531	64646978	53.1394	45593664	19053314	70.5271	29.4729

Resolution required: (Ordinary/ Special)	ORDINARY - To approve the appointment of Mr. Sunil Vinayak Patel (DIN: 00050837) as an Independent Director of the Company for a period of 5 years w.e.f. February 11, 2021							
Whether promoter/ promoter group are interested in the agenda/resolution?	No							
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]* 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	0	0	0.0000	00	0	0.0000	0.0000
	Poll	0	0	0.0000	00	0	0.0000	0.0000
	Postal Ballot	0	0	0.0000	00	0	0.0000	0.0000
Public- Institutions	E-Voting	51536021	48080883	93.2957	41501842	6579041	86.3167	13.6832
	Poll	51536021	0	0.0000	00	0	0.0000	0.0000
	Postal Ballot	51536021	0	0.0000	00	0	0.0000	0.0000
Public- Non Institutions	E-Voting	70119510	16565854	23.6252	4182474	12383380	25.2475	74.7524
	Poll	70119510	0	0.0000	00	0	0.0000	0.0000
	Postal Ballot	70119510	0	0.0000	00	0	0.0000	0.0000
Total		121655531	64646737	53.1392	45684316	18962421	70.6676	29.3324

Resolution required: (Ordinary/ Special)	ORDINARY - To approve the appointment of Mr. Rajesh Kumar Jogi (DIN: 03341036) as an Independent Director of the Company for a period of 5 years w.e.f. February 11, 2021							
Whether promoter/ promoter group are interested in the agenda/resolution?	No							
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]* 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	0	0	0.0000	00	0	0.0000	0.0000
	Poll	0	0	0.0000	00	0	0.0000	0.0000
	Postal Ballot	0	0	0.0000	00	0	0.0000	0.0000
Public- Institutions	E-Voting	51536021	48080883	93.2957	42680883	5400000	88.7689	11.2310
	Poll	51536021	0	0.0000	00	0	0.0000	0.0000
	Postal Ballot	51536021	0	0.0000	00	0	0.0000	0.0000
Public- Non Institutions	E-Voting	70119510	16565844	23.6252	4182357	12383487	25.2468	74.7531
	Poll	70119510	0	0.0000	00	0	0.0000	0.0000
	Postal Ballot	70119510	0	0.0000	00	0	0.0000	0.0000
Total		121655531	64646727	53.1392	46863240	17783487	72.4913	27.5087

Resolution required: (Ordinary/ Special)	SPECIAL - To approve the re-appointment of Mr. Abhijit Sen (DIN: 00002593) as an Independent Director of the Company for a period of 5 years w.e.f. September 13, 2021							
Whether promoter/ promoter group are interested in the agenda/resolution?	No							
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]* 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	0	0	0.0000	00	0	0.0000	0.0000
	Poll	0	0	0.0000	00	0	0.0000	0.0000
	Postal Ballot	0	0	0.0000	00	0	0.0000	0.0000
Public- Institutions	E-Voting	51536021	48080883	93.2957	41436407	6644476	86.1806	13.8193
	Poll	51536021	0	0.0000	00	0	0.0000	0.0000
	Postal Ballot	51536021	0	0.0000	00	0	0.0000	0.0000
Public- Non Institutions	E-Voting	70119510	16565854	23.6252	4192229	12373625	25.3064	74.6935
	Poll	70119510	0	0.0000	00	0	0.0000	0.0000
	Postal Ballot	70119510	0	0.0000	00	0	0.0000	0.0000
	Total	121655531	64646737	53.1392	45628636	19018101	70.5815	29.4185